

103107

BRINKS  
HOFER  
GILSON  
& LIONE

In re Application of: Kazuyoshi Yajima et al.  
 For: PROCESSES FOR PRODUCING COENZYME Q10  
 Attorney Docket No: 5404/191  
 Express Mail mailing label number: EV 924813114 US  
 Date of Deposit: October 31, 2007

PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

1. TRANSMITTED HERewith: New application under 37 CFR §1.53(b), which is a:

- ☐ Continuation,  
☒ Divisional, or  
☐ Continuation-in-Part (CIP)

Under 37 CFR §1.53(b) of prior application no. 10/500,249.

Prior application information: Examiner: Vera Afremova Art Unit: 1657

- ☒ Maintenance of copendency of prior application: A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until November 7, 2007.
- ☒ Certified copy of priority document(s) has been filed in prior application no. 10/481,694.

For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as indicated below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

2. ATTACHMENTS: The following application elements and other papers are attached:

- ☐ Application Data Sheet. See 37 CFR § 1.76.  
☐ Title page  
☒ Specification, including claims and Abstract (56 pages)  
☒ Drawings (1 sheet(s))  
☐ Appendices: \_\_\_\_\_  
☒ Declaration or ☐ Combined Declaration and Power of Attorney (\_\_\_\_\_ pages):  
☐ newly-executed (original or copy)  
☒ copy from a prior application (37 CFR §1.63(d))  
☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53(d)(4). Please DELETE the following inventor(s) named in prior nonprovisional application no. \_\_\_\_\_/\_\_\_\_\_: \_\_\_\_\_  
☒ English Translation Document:  
☐ is attached or ☒ has been filed in prior application no. 10/481,694.  
☒ Preliminary Amendment (Note: Related application data required under 37 CFR §1.78, if any, appears in the Amendments to the Specification section of the Preliminary Amendment, including incorporations by reference.)  
☐ Petition to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently).  
☐ Information Disclosure Statement, including Form PTO-1449 (\_\_\_\_\_ sheets) and copies of references cited, if required.  
☒ Assignment to: Kaneka Corporation:  
☐ with accompanying Assignment Recordation Cover Sheet, is attached.  
☒ was previously recorded on November 30, 2004 at Reel 016045, Frame 663-666.

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- ☒ Power of Attorney (\_\_\_\_ pages; ☐ by inventor ☐ by \_\_\_\_.
- ☒ The power appears in the original papers in the prior application.
- ☐ The power doesn't appear in the original papers in the prior application, but was filed on \_\_\_\_.
- ☐ A new power has been executed and is attached. ☐ The power of attorney in the prior application is to: \_\_\_\_ (Reg. No. \_\_\_\_).
- ☐ Nonpublication Request under 35 USC §122(b)(2)(B)(i).
- ☒ Other: Petition and Fee for Extension of Time (in Duplicate).
- ☒ Return Receipt Postcard.

## 3. SMALL ENTITY STATUS:

- ☐ Applicant is small entity (per 37 CFR §1.27).
- ☐ A small entity statement was filed in prior application no. \_\_\_\_ / \_\_\_\_ and such status is still proper and desired.
- ☐ Small entity status is no longer desired.

## 4. FEE CALCULATION (AFTER ENTRY OF ANY PRELIMINARY AMENDMENT(S) IN ITEM #2 ABOVE):

Claims as Filed	Col. 1	Col. 2	Small Entity			Not a Small Entity	
For	No. Filed	No. Extra	Rate	Fee	or	Rate	Fee
Basic Fee				\$ 155	or		\$ 310
Total Claims	32-20	12	x\$25=	\$	or	12x\$50=	\$600
Independent Claims	2-3	0	x\$105 =	\$	or	x\$21 0=	\$
Multiple Dependent Claims Present			+\$185=	\$	or	+\$370=	\$
Utility Application Size Fee (for each additional 50 sheets that exceed 100, including spec. & drawings)			x\$130 =	\$	or	x\$26 0=	\$
Search Fee			+\$255=	\$	or	+\$510=	\$510
Examination Fee			+\$105=	\$	or	+\$210=	\$210
*If the difference in col. 1 is less than zero, enter "0" in col. 2.			Total	\$	or	Total	\$1630

## 5. FEE PAYMENT:

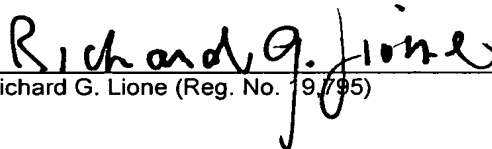
- ☐ A check in the amount of \$\_\_\_\_ to cover the filing fee(s) is enclosed.
- ☐ Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).
- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$1630 and \$120. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Director is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

## 6. CORRESPONDENCE ADDRESS: Please recognize the correspondence address for this application as the address associated with the following Customer Number:

**Customer No. 00757 - Brinks Hofer Gilson Lione**

7. PLEASE DIRECT all telephonic communications to: Richard G. Lione (tel: (312) 321-4200).

Respectfully submitted,

  
 Richard G. Lione (Reg. No. 19,795)

October 31, 2007

Date